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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	10/620,726
Filing Date	July 15, 2003
First Named Inventor	Charles L. Gray, Jr.
Art Unit	3618 .
Examiner Name	Bridget D. Avery
Attorney Docket No.	310121.404

ENCLOSURES (check all that apply)									
Fee Transmitta    Fee Attach     Amendment/Ro     After Final     Affidavits/o     Extension of Ti     Express Aband Request     Information Dis Statement and     Cited Reference     Certified Copy Document(s)     Response to Munder 37 CFR     Response to Mearts/Incomple	al Form ned esponse declaration(s) ime Request donment sclosure Transmittal ces of Priority tissing Parts 1.52 or 1.53 tissing	Drawing(s) Request for Corrected Receipt Licensing-related Pape Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 C 3.73(b) Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table	d Filing ers a of eess	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Other Enclosure(s) (please identify below):					
	SIGNATUR	RE OF APPLICANT, ATTO	RNEY,	OR A	GENT				
Firm Name	Seed Intelled	ectual Property Law Group PLLC			Customer Number 34212				
Signature									
Printed Name	Lorraine Linfo	bro							
Date	January 2, 20	2007 Reg. N		lo. 35,939					
CERTIFICATE OF TRANSMISSION/MAILING									
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.									
Signature	Or	nillen Ment			$\sim$				
Typed or printed n	ame Coll	olleen Menth			Date: January 2, 2007				

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 883755\_1.DOC

Complete if Known Fees pursuanto the Consolidated Appropriations Act. 2005 (H.R. 4818). Application Number 10/620,726 TE TRANSMITTAL Filing Date July 15, 2003 First Named Inventor Charles L. Gray, Jr. For FY 2006 **Examiner Name** Bridget D. Avery ant claims small entity status. See 37 CFR 1.27 Art Unit 3618 TOTAL AMOUNT OF PAYMENT Attorney Docket No. 310121.404 METHOD OF PAYMENT (check all that apply) Other (please identify): Check Credit Card | | Money Order Deposit Account Deposit Account Number: 19-1090 Deposit Account Name: Seed IP Law Group PLLC For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any underpayments or credit any overpayments Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FILING FEES** SEARCH FEES **FEES** Small **Small Entity** Small Entity **Entity** Fee (\$) Fees Paid (\$) Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) 500 250 200 100 Utility 300 150 130 Design 200 100 100 50 65 Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) **Fee Description** Fee (\$) Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) -20 or HP = Fee Paid (\$) Х Fee (\$) HP = highest number of total claims paid for, if greater than 20. **Extra Claims** Indep. Claims Fee (\$) Fee Paid (\$) -3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)) the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) Total Sheets Extra Sheets Fee (\$) -100 =(round up to a whole number) /50 = 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Information Disclosure Statement 180 SUBMITTED BY Registration No. 35,939 Telephone 206-622-4900 Signature (Attorney/Agent)

Date

January 2, 2007

Name (Print/Type)

**Eorraine Linford** 

**PATENT** 

Thereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 2, 2007

Date

Colleen Menth

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Charles L. Gray, Jr.

Application No.

10/620,726

Filed

July 15, 2003

For

OPPOSING PUMP/MOTORS

Examiner

: Bridget D. Avery

Art Unit

: 3618

Docket No.

: 310121.404

Date

: January 2, 2007

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

#### Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicant wishes to make known to the U.S. Patent and Trademark Office the references set forth on the attached Information Disclosure Statement. Copies of cited U.S. patents and published patent applications are not required and accordingly have not been provided. As to any reference cited, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark

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Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Lorraine Linford

Registration No. 35,939

LXL:cm

**Enclosures:** 

Check

Information Disclosure Statement

701 Fifth Avenue, Suite 5400 Seattle, Washington 98104 Phone: (206) 622-4900

Fax: (206) 682-6031

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

(Use several sheets if necessary)

TALINFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 310121.404

APPLICATION NO. 10/620,726

APPLICANT

Charles L. Gray, Jr.

FILING DATE
July 15, 2003

GROUP ART UNIT 3618

# **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	АА	5,625,204	04/29/97	Kao et al.	257	190	
	AB	5,634,526	06/03/97	Johnson	180	305	
	AC	5,752,417	05/19/98	Okada et al.	74	606 R	
	AD	6,151,990	11/28/00	Johnson et al.	74	606 R	
	AE	6,152,846	11/28/00	Schreier et al.	475	83	
	AF	6,413,181	07/02/02	Okada	475	83	
	AG	6,719,080	04/13/04	Gray, Jr.	180	165	
	АН	2002/0173398	11/21/02	Arnold et al.	475	72	
	Al	2003/0192402	10/16/03	Arnold et al.	74	730.1	
	AJ	2004/0058770	03/25/04	Ishii	475	72	

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT DATE		COUNTRY	TRANS	LATION
	NUMBER	DATE	COUNTRY	YES	NO
AK					
AL					
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## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applican(s).

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					ATTY. DOCKET NO. APPLICATION NO. 310121.404 10/620,726					
				APPLICANT						
SUPPLEMENTALINFORMATION DISCLOSURE STATE (Use several sheets if necessary)				TEMENT Charles L. Gray, Jr.  FILING DATE		GROUP ART UNIT				
					July 15, 2003		3618			
}	U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLA	SS	SUBCLASS		DATE OPRIATE
	AA	2004/0149506	08/05/04	Sakikawa	et al.	180		242		
	AB	2004/0172939	09/09/04	Abend et	al	60		487		
	AC	2004/0251067	12/16/04	Gray, Jr. e	et al.	180		165		
	AD	2005/0119084	06/02/05	Ishii et al.		475		83		
	AE	2005/0176549	08/11/05	Okada		475		221		
	AF	2005/0217262	10/06/05	Takada et	al.	60		468		
	AG	2006/0021813	02/02/06	Gray, Jr.		180		165		
	AH	2006/0026957	02/09/06	Hauser et al.		60		487		
	Al	2006/0070376	04/06/06	Okada		60		433		
	AJ									
			FOREI	GN PATEN	IT DOCUMENTS					
		DOCUMENT NUMBER	DATE		COUNTRY				TRANSLATION YES NO	
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	AL									
	AM									
	AN									
	AO									
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EXAMINE	R	1			DATE CONSIDERED					
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conformance and not considered. Include copy of this form with next communication to applican(s).										